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The Investment Adviser s Legal and Compliance Guide. January 1, 2004 Aspen Publishers. By: Terrance J. O'Malley

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Terrance omalley, partner corporate, asset

Terrance J. O'Malley is a member of the asset management practice in Mr. O'Malley has authored two books, The Investment Adviser's Legal and Compliance

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(request for extension of compliance date) Terrance O'Malley, Fried, Frank, Paul S. Gottlieb, Chairman, SIA Investment Adviser Committee,